HUDSON SECURITIES

C.O.D. NEW ACCOUNT FORM

Date	Account Number	Tax Identification Number	Introducing Firm HUDSON SECURITIES

Account Name and Address	Duplicate to Investment Advisor
1	1
2	2
3	3
4	4
5	5
6	6
Phone Number ( )	Phone Number ( )
Contact	Contact

Account Description: (Circle Applicable Description)		Documentation Complete & Received
1 Corporation	6 Profit Sharing Plan	☐ Form 151 ☐ Form 1 to Schedule A ☐ _____
2 Investment Advisor	7 Trust	
3 Partnership	8 Hedge Fund	Action taken:
4 Estate	9 Other (Specify)	
5 Pension Plan		

Institutional Delivery Number:	Account Number at Agent Bank:
Agent Bank Number:	DTC Number:

Special Instructions:

Was Initial Order Solicited?	Is A.E. Licensed in State of Accounts Residence?
_____Yes ___X__No	__X___Yes _____No

Account's Investment Objectives: (Circle Applicable Objectives)

Safety of Principle	Short-Term Trading
Income	Speculation
Long-Term Growth	Tax Sheltered Income

Account Executive Signature	Date	Introducing Firm Authorization	Date
Hudson Securities, Inc. Authorization			Date